| Electronic Acknowledgement Receipt   |   |  |  |  |
|--------------------------------------|---|--|--|--|
| EFS ID:                              | 12265785  |  |  |  |
| Application Number:                  | 10699859  |  |  |  |
| International Application Number:    |   |  |  |  |
| Confirmation Number:                 | 5126  |  |  |  |
| Title of Invention:                  | ELECTRONIC SYSTEMS AND METHODS FOR PROVIDING A TRADING INTERFACE WITH ADVANCED FEATURES |  |  |  |
| First Named Inventor/Applicant Name: | Howard W. Lutnick   |  |  |  |
| Customer Number:                     | 63710   |  |  |  |
| Filer:                               | Mark Adam Miller/David Postolski  |  |  |  |
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| Payment was successfully received in RAM | \$930           |
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# File Listing:

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|-------------------------|--|---|--|---------------------|---------------------|
| 1                       | Request for Continued Examination      | 02-1078_120309_rce.pdf                  | 697261                                       | no                  | 3                   |
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| 2                       | Transmittal Letter                     | 02-1078_120309_IDS.pdf                  | 25876  | no                  | 2                   |
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| 4                       | Non Patent Literature                  | 04-1098-<br>C1-1_111116_Non_Final_Rejec | 297291                                       | no                  | 9                   |
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| 5                       | Non Patent Literature                  | 03-6184WOEP_111115_OA.pdf               | 442017                                       | no                  | 5                   |
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| 6                       | Non Patent Literature                  | 01-1048_070103_OA.pdf                   | 171109                                       | . no                | 5                   |
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| 7 Non Patent Literature | 01-1048_071210_Restriction.            | 221331                                  | no   | 7                   |                     |
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| 8            | Non Patent Literature              | 03-6178_070810_NonFinal_OA<br>.pdf                 | 392325                                       | no  | 11 |
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|              |                                    | .pui   | 57eba6c9a99c7bb920656b86295e1edbdb<br>b525be |     |    |
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| 9            | Non Patent Literature              | 03-6178_080303_PTO_Office_                         | 724785                                       | no  | 20 |
|              |                                    | Action_Final.pdf                                   | 32fceb9a035d3b79a408143250fb02f3369c<br>eb44 |     |    |
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| 10           | Non Patent Literature              | 03-6178_080328_Advisory_Act<br>ion.pdf             | 110957                                       | no  | 3  |
|              |                                    | ionipa.  | 26204d4bc591b5cb92c58626aadbd861b7<br>65e3ed |     |    |
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| 11           | Non Patent Literature              | 03-6178_080430_Office_Action                       | 550766                                       | no  | 16 |
|              |                                    | .pdf   | 831441bd1c2bf51749a835f5ed6d4520f7ac<br>acfa | 110 |    |
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| 12           | Non Patent Literature              | 03-6178_090202_3mo_Non_Fi<br>nal_Office_Action.pdf | 765916                                       | no  | 27 |
|              |                                    |  | 2ce4d1c8c7a95c4a1507a10dc34f2c74a759<br>90d0 |     |    |
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| 13           | Non Patent Literature              | 03-6178_100301_Notice_of_All                       | 506226                                       | no  | 11 |
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| 14           | Non Patent Literature              | 03-6178_110203_Notice_of_All                       | 390380                                       | no  | 7  |
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| 15           | Non Patent Literature              | 03-6178_110614_Notice_of_All                       | 486854                                       | nc  | 9  |
| 13           | 5 Non Patent Literature owance.pdf | 6cc853e4c0d11cb02aec171fcbc2e4c7da3d<br>daac       | no   | 9   |    |
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| 16           | Non Patent Literature              | 03-6178_111021_Notice_of_All                       | 904081                                       | no  | 18 |
|              |                                    | owance.pdf   | 1d946970008f46f4cdd155670f7816069977         |     |    |
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| 17                       | Non Patent Literature      | 03-6184_070820_NonFinal_Act  | 374490   | no      | 10 |
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| .                        |                            | ion.pdf  | 81bb14d395045fc940a0d9eead5a5fa78a6<br>d3ec6           |         |    |
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| 18                       | Non Patent Literature      | 03-6184_080228_Final_Rejecti   | 74569  | no      | 2  |
|                          | Wolff die lite Enterditure | on_28Feb2008.pdf   | 2a786185871c06aebb98efaa4d65f64340d<br>b99e5           | 110     |    |
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| 19                       | Non Patent Literature      | 03-6184_080328_Advisory_Act  | 111984   | no      | 3  |
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| 20                       | Non Patent Literature      | 03-6184_080501_Final_Office_   | 518133   | no      | 15 |
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| 21                       | Non Patent Literature      | 03-6184_080919_Notice_of_Pa<br>nel_Decision_from_Pre_Appea<br>l_Brief_Review.pdf | 99017  | no      | 2  |
| 21                       | 21 Non Patent Literature   |  | 483d73d29e1420ca452792251a11d55031c<br>265d5           |         | 2  |
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| 22                       | Non Patent Literature      | 03-6184_090202_3mo_Non_Fi  | 784013   | no<br>3 | 27 |
| 22                       | Non Faterit Literature     | nal_Office_Action.pdf  | 2cd9420f3566e4c2262b01dec94536c8c98<br>e8e6b           |         | 27 |
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| 23                       | Non Patent Literature      | 03-6184_100302_Notice_of_All   | 506186   | no      | 11 |
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| 24                       | Non Detent literature      | 03-6184_110203_Notice_of_All   | 386341   | no      | 7  |
| 24 Non Patent Literature | owance.pdf                 | f191e7ea92db0ec0b5fd796e234fed3ce57e<br>5225                                     | no   | ,       |    |
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| Information:             | Non Patent Literature      |  | 466559dab84303694e499b243e0867b06e                     | no      | 12 |

| 26            | Non Patent Literature | 03-6184_111018_Notice_of_All<br>owance.pdf                    |  | no  | 16 |
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|               |                       | <u>'</u>  | 9722e087eaf2da1d89acb41b437cff1fd62c<br>eb09   |     |    |
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| 27            | Non Patent Literature | 03-6184WOAU_091210_Pizzey<br>s_Reporting_Ltr_Enclosing_Exa    |  | no  | 5  |
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| 28            | Non Patent Literature | 03-6184WOAU_110228_secon                                      | 162472   | no  | 3  |
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| 29            | Non Patent Literature | 03-6184WOAU_110812_Notice                                     | 95261  | no  | 2  |
|               |                       | _of_Acceptance.pdf  | 52b421020bae4f35016fff7c53400f37e4cdf<br>6cc   | .10 |    |
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|               |                       | 03-6184WOJP_100518_JPOA_<br>with_translation_embedded.<br>pdf | 1945488  | no  | 9  |
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| 31            | Non Patent Literature | 04-1098-<br>C1-1_100430_3mo_Non-<br>Final_Office_Action.pdf   | 211481   | no  | 7  |
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| 32            | Non Patent Literature | 04-1098-<br>C1-1_110119_Final_Office_Acti<br>on.pdf           |  | no  | 9  |
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| 33            | Non Patent Literature | C1-1_110922_Notice_of_Panel                                   |  | no  | 2  |
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|               |                       | 04-1098CA_100826_Ogilvy_Re                                    | 188516   |     |    |
| 34            | Non Patent Literature | porting_Letter_Enclosing_Exa                                  |  | no  | 5  |
| j.            |                       | miners_Report.pdf   | 6c3dfefe31940b8e894f1e81a82d734db3c9           |     |    |
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| 41           | Fee Worksheet (SB06)  | fee-info.pdf   | cc2af941dd32eaafc4cc6704ac0364343fe66<br>b60           | no    | 2  |
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| 38           | Non Patent Literature | 03-1086_091209_Notice_of_Pa<br>nel_Decision_from_Pre-<br>Appeal_Brief_Review.pdf | c2014d0451bdc1da54cd434d9bb1278403                     | no    | 2  |
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| 35           | Non Patent Literature | 04-1098EP_060810_Examinatio<br>n_Report.pdf                                      |  | no    | ç  |

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## New Applications Under 35 U.S.C. 111

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### New International Application Filed with the USPTO as a Receiving Office

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